



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: De Haen et al.

Examiner: TBA

Serial No.: 10/532,446

Art Unit: 1626

Filing Date: April 22, 2005

For: METHOD FOR THE SELECTIVE AND QUANTITATIVE FUNCTIONALIZATION OF IMMUNOGLOBULIN FAB FRAGMENTS, CONJUGATE COMPOUNDS OBTAINED WITH THE SAME AND COMPOSITIONS THEREOF

**Certificate of Mailing and/or Transmission Under 37 C.F.R. § 1.8(a)(1)(i)(A)(ii)**

I hereby certify that the following correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop Amendments, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO (571) \_\_\_\_\_, on the date indicated below:

1. Information Disclosure Transmittal: 2pg;
2. Forms PTO/SB/08A and 08B: 1pg each; and
3. Copy of European Search Report: 3pg

Date: January 11, 2007

Signature: Pamela J. Gewirtz  
Pamela J. Gewirtz

Mail Stop Amendments  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§§ 1.56, 1.97 AND 1.98**

Sir:

Supplemental to the Information Disclosure Statement filed April 22, 2005, applicants respectfully submit the immediate Information Disclosure Statement listing additional art cited in the related European application no. 03779875.8, whose national entry filing date is May 27, 2005.

It is respectfully requested that this Information Disclosure Statement and the references identified on the enclosed Forms PTO/SB/08A and PTO/SB/08B, a copy of which is attached be considered during the pendency of the prosecution of the immediate application pursuant to §§§ 1.56, 1.98 and 1.97:

- |                                     |         |   |
|-------------------------------------|---------|---|
| <input type="checkbox"/>            | (b) (1) | within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) |
| <input type="checkbox"/>            | (b) (2) | within three months of the date of entry of the national stage as set forth in § 1.491 in an international application          |
| <input checked="" type="checkbox"/> | (b) (3) | before the mailing of a first Office action on the merits; or   |

- ☐ (b) (4) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.
- ☒ (g) An information disclosure statement filed in accordance with section shall not be construed as a representation that a search has been made
- ☒ (h) The filing of an information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicants further request that:

1. The references identified on the enclosed Forms PTO/SB/08A and 08B be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. The enclosed Forms PTO/SB/08A and 08B be appropriately initialed by the Examiner, and a copy of same be returned to Applicants' attorney.

Under 37 CFR § 1.17(p), the fee of \$180.00 is not believed to be due with the filing of this Information Disclosure Statement as it is not being filed under 37 C.F.R. § 1.97(c) or (d). However, the Director is hereby authorized to charge any fees due, or credit any overpayments to Deposit Account No. 50-2168.

Early and favorable action is hereby requested.

January 11, 2007  
Date

Respectfully submitted,



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PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>			<b>Complete if Known</b>		
Form 1449/PTO			Application Number	10/532,446	
			Filing Date	April 22, 2005	
			First Named Inventor	Christoph DeHaen	
			Art Unit	1626	
			Examiner Name	TBA	
Sheet	1	of	1	Attorney Docket Number	B-0497 PUS

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA				
	AB				
	AC				
	AD				
	AE				
	AF				

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.†	Foreign Patent Document Country Code <sup>2</sup> -Number <sup>2</sup> -Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	BA	WO 02/056907A2	07-25-2002	Cytos Biotechnology AG; Novartis Pharma AG		
	BB	WO 02/056907A3	07-25-2002	Cytos Biotechnology AG; Novartis Pharma AG		

Examiner Signature	/Brandon Fetterolf/	Date Considered	03/31/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. †Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for Form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	10/532,446
Filing Date	April 22, 2005
First Named Inventor	Christoph DeHaen
Art Unit	1626
Examiner Name	TBA
Attorney Docket Number	B-0497 PUS

Sheet 1 of 1

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	European Search Report for EP 03779875.8, mail date 10-09-2006	
	CB		
	CC		
	CD		
	CE		
	CF		

Examiner Signature	Date Considered
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English Translation is attached.

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